

Express Mail Label No. EL 451 536 434 US

06-30-00

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UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
551512/062

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for

invention entitled:

A METHOD FOR SURFACE TREATMENT, ORNAMENTS AND ELECTRONIC DEVICES

and invented by:

Akira Uchiyama

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/007,129

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 51 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 3
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL 451 536 434 US

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Docket No.
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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	24	- 20 =	4	x \$18.00	\$72.00
Indep. Claims	1	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$832.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-4709 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$832.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Signature

Lawrence Rosenthal
Registration No. 24,377
Attorneys for Applicants
Stroock & Stroock & Lavan LLP
180 Maiden Lane
New York, NY 10038
(212) 806-6660

Dated: June 29, 2000

CC:

CERTIFICATE OF MAILING

"EXPRESS MAIL" (37 CFR 1.10)

Docket No.
551512/062

Group Art Unit

Applicant(s): Akira Uchiyama

Serial No.
09/007,129

Filing Date
Herewith

Examiner

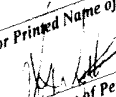
Invention: A Method For Surface Treatment, Ornaments and Electronic Devices

JC832 U.S. PRO
09/606878
06/89/00

I hereby certify that this Divisional Application (Identify type of correspondence)
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.
20231-0001 on June 29, 2000
(Date)

Lisa Jakob

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Docket No.
551512/032
P-9055-532
LR:JEL:ph

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC832 U.S. PTO
09/606878
06/29/00

Applicant : Akira Uchiyama
Serial No. : 09/007,129
Filed : January 14, 1998
For : A METHOD FOR SURFACE TREATMENT,
ORNAMENTS AND ELECTRONIC DEVICES

June 4, 1998

**COMMUNICATION FILING SIGNED COPY OF DECLARATION
IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION FILING DATE GRANTED AND SURCHARGE AUTHORIZATION**

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted, mailed April 10, 1998, a copy of which is annexed hereto, Applicant submits a Declaration executed on May 7, 1998 by Akira Uchiyama.

Kindly enter the accompanying executed Declaration, which refers to the Application Serial No. 09/007,129, filed on January 14, 1998, in the application file.

The Commissioner is hereby authorized to charge the surcharge for filing the Declaration, estimated to be \$130.00, filing fee of \$1,208.00, totaling \$1,338.00, and any deficiencies to the undersigned attorney's Deposit Account No. 19-4709. A duplicate copy of this Communication is enclosed.

Applicant submits that the filing of the executed Declaration and payment of the surcharge which is authorized herein completes the filing requirements in connection with this application. If any issues remain which prevent the enclosed Declaration from being entered, it is requested that the undersigned attorney be notified in an effort to resolve such issues.

Early and favorable action in connection with this application is earnestly solicited.

Respectfully submitted,



for

Lawrence Rosenthal
Registration No. 24,377
Attorney for Applicant
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180 Maiden Lane
New York, New York 10038
(212) 806-5400

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